INTERNET FORM NLRB-501 (2-08)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case	Date Filed	
13-CA-135932	9/3/2014	

INSTRUCTIONS:

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File an original with NLRB Regional Director for the region in which th		g
	GAINST WHOM CHARGE IS BROUGHT	h Tel No
a Name of Employer  (1) 5200 S. Lake Park Avenue McDonald's and (2) McDonald's USA, LLC, joint employers		b Tel. No. (1) 773-288-2161 (2) 800-244-6227
(1)		c. Cell No.
d Address (Street, city, state, and ZIP code)	e Employer Representative	f. Fax No.
(1) 5200 S. Lake Park Avenue, Chicago, IL 60615 (2) 2111 McDonald's Drive, Oak Park, IL 60523		g e-Mail
(2) 2 * * * * * * * * * * * * * * * * * *		h. Number of workers employed
		(1) Approx. 50; (2) 100,000+
Type of Establishment (factory, mine, wholesaler, etc.)     Restaurant	j. Identify principal product or service Fast Food	
k The above-named employer has engaged in and is engaging	in unfair labor practices within the meaning of secti	ion 8(a), subsections (1) and (list
subsections) (3)	of the National Labo	r Relations Act, and these unfair labor
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization	•	air practices affecting commerce
2 Basis of the Charge (set forth a clear and concise statement		ctices)
On or around (b) (6), (b) (7)(c) 2014, the joint employers		•
protected, concerted activities and in an effort to dis		
protected, concerted activities and in an enort to dis	soddrage offici employees from engaging	g in such activities.
Full name of party filing charge [if labor organization, give full Workers Organizing Committee of Chicago	l name, including local name and number)	
4a Address (Street and number, city, state, and ZIP code)		<sup>4b</sup> Tel No. (312) 520-1424
850 W. Jackson, Suite 275 Chicago, IL 60607	7	4c. Cell No
Cincago, 12 coco,		<sup>4d. Fax No</sup> (312) 243-4731
	Ī	4e e-Mail
Full name of national or international labor organization of will organization)	hich it is an affiliate or constituent unit (to be filled ii	n when charge is filed by a labor
6. DECLARATION I declare that I have read the above charge and that the statements		Tel. No (312) 372-1361
design that i have been above organic and that the statements		Office, if any, Cell No.
	y M. Bennett, Lawyer	Onice, il ally, Cell No.
(signatur <b>g of</b> representative or person making charge)	(Print/type name and title or office, if any)	Fax No. (312) 372-6599
Dowd Bloch Bennett & Cervone	9/3/17	e-Mail
Address 8 South Michigan Avenue, 19th Floor, Chicago, IL 606	03 (date)	bbennett@dbb-law.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information will cause the NLRB to decline to invoke its processes.



REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341 Download NLRB Mobile App

September 4, 2014

McDonald's 5220 S. Lake Park Avenue Chicago, IL 60615

Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney HELEN I. GUTIERREZ whose telephone number is (312)353-7584 and whose e-mail address is <u>helen.gutierrez@nlrb.gov</u>. If this Board agent is not available, you may contact Supervisory Attorney JEANETTE C. SCHRAND whose telephone number is (312)353-7589.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge by September 17, 2014. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures</u>: We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PETER SUNG OHR Regional Director

bz

**Enclosures:** 

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: McDonald's Corp 2111 McDonald's Dr. Oak Brook, IL 60523

	NATIONAL LABOR DEL	ATIONS BOADD				
Revised 3/21/2011 NATIONAL LABOR RELATIONS BOARD OUTSTONNAIDE ON COMMERCE INFORMATION						
QUESTIONNAIRE ON COMMERCE INFORMATION						
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.  CASE NAME  CASE NUMBER						
5200 S. Lake Park Avenue McDonald's and McDonald's USA, LLC joint 13-CA-135932						
, ,						
employers  1. EXACT LEGAL TITLE OF ENTITY (	As filed with State and/or stated in leg	al decuments forming entity)				
I. EXACT LEGAL TITLE OF ENTITY	As med with State and/or stated in leg	ar documents for ming entity)				
2. TYPE OF ENTITY						
[] CORPORATION [] LLC [] L	LP [] PARTNERSHIP [] SO	LE PROPRIETORSHIP [ ] OTH	ER (Specify )			
3. IF A CORPORATION or LLC						
A. STATE OF INCORPORATION	B. NAME, ADDRESS, AND RELAT	ONSHIP (e.g. parent, subsidiary) OF	ALL RELATED ENTITIES			
OR FORMATION						
4. IF AN LLC OR ANY TYPE OF PART	NERSHIP, FULL NAME AND ADDE	RESS OF ALL MEMBERS OR PAR	TNERS			
	, , , , , , , , , , , , , , , , , , , ,					
5. IF A SOLE PROPRIETORSHIP, FUL	L NAME AND ADDRESS OF PROP	RIETOR				
6. BRIEFLY DESCRIBE THE NATURE	OF YOUR OPERATIONS (Products	handled or manufactured, or nature of	services performed).			
7. A. PRINCIPAL LOCATION:	B. BRANCH L	OCATIONS:				
0 MIRED OF BEODY F DRECENTLY	FIRMOVED					
8. NUMBER OF PEOPLE PRESENTLY						
A. Total:	B. At the address involved in this					
9. DURING THE MOST RECENT (Chec	ck appropriate box): [ ] CALENDAR !	YR [] 12 MONTHS or [] FISO	CAL YR (FY dates YES	NO NO		
A. Did you <b>provide</b> services valued in	excess of \$50,000 directly to custom	ers outside your State? If no. indi		NO		
\$	chies of the electric transfer to tuestern	ors outside your state. If no, man	detail value.			
B. If you answered no to 9A, did you p	rovide services valued in excess of	\$50,000 to customers in your State	who purchased goods			
valued in excess of \$50,000 from directly outside your State? If no, indicate the value of any such services you provided.						
\$						
C. If you answered no to 9A and 9B, did you <b>provide</b> services valued in excess of \$50,000 to public utilities, transit systems,						
newspapers, health care institutions, broadcasting stations, commercial buildings, educational institutions, or retail concerns? If						
less than \$50,000 indicate amount		,				
less than \$50,000, indicate amount.  D. Did you sell goods valued in excess	\$		n \$50 000 indicate			
less than \$50,000, indicate amount.  D. Did you sell goods valued in excess amount. \$	\$		n \$50,000, indicate			
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PRIVACY ACT STATEMENT

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# **UNITED STATES OF AMERICA**

# **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

5200 S.LAKE PARK AVENUE MCDONALD'S AND MCDONALD'S USA, LLC JOINT EMPLOYERS		
Charged Party	Case 13-CA-135932	
and		
WORKERS ORGANIZING COMMITTEE OF CHICAGO		
Charging Party		
AFFIDAVIT OF SERVICE OF CHARGE AGAINS	T EMPLOYER	
I, the undersigned employee of the National Labor Relations Board, state under oath that on September 4, 2014, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:		
McDonald's 5220 S. Lake Park Avenue Chicago, IL 60615		
McDonald's Corp 2111 McDonald's Dr. Oak Brook, IL 60523		
September 4, 2014	Brendan Zarling, Designated Agent of NLRB	
Date	Name	

Signature





REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341 Download NLRB Mobile App

September 4, 2014

Workers Organizing Committee of Chicago 850 W Jackson Ste 275 Chicago, IL 60607

Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

Dear Sir or Madam:

The charge that you filed in this case on September 03, 2014 has been docketed as case number 13-CA-135932. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney HELEN I. GUTIERREZ whose telephone number is (312)353-7584 and whose e-mail address is <a href="mailto:helen.gutierrez@nlrb.gov">helen.gutierrez@nlrb.gov</a>. If this Board agent is not available, you may contact Supervisory Attorney JEANETTE C. SCHRAND whose telephone number is (312)353-7589.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

PETER SUNG OHR Regional Director

bz Enclosures:

cc: Barry M. Bennett, ESQ., Attorney at Law Dowd, Bloch, Bennett & Cervone 8 S Michigan Ave Fl 19 Chicago, IL 60603-3315

EMPLOYEE SIGNATURE

MANAGER SIGNATURE

SUPERVISOR SIGNATURE \_\_\_\_

## EMPLOYEE ACTION FORM

6)	(h) /	(7)(C)

OWNER OPERATOR

DATE:

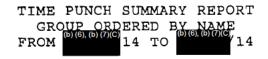
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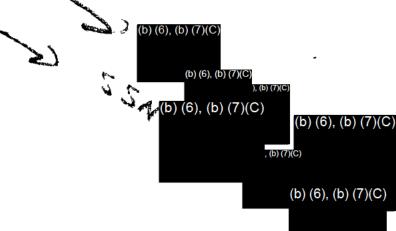
## COMMENDATION

CODE	#	CODE		
_ 01	STAYED LATE	_ 07	HELPED A TRAINEE	
_ 02	CAME IN EARLY	_ 08	HELPED A CUSTOMER EXCEPTIONAL PERFORMANCE	
03	CAME IN ON DAY OFF	_ 09	EXCEPTIONAL PERFORMANCE	
_ 04	VOLUNTEERED FOR EXTRA DUTY	_ 10	HELPED ACHIEVE GOOD QSC	GRADES
_ 05	ASSISTED A MANAGER	_ 11	EMPLOYEE OF THE MONTH	
_ 06	VOLUNTEERED FOR EXTRA DUTY ASSISTED A MANAGER ASSISTED ANOTHER CREW PERSON	_ 99	OTHER (EXPLANATION REQUI	RED)
	REPRIMAND	/DISC	IPLINE	
CODE		CODE		
	POOR PERFORMANCE	_ 09	ABUSIVE LANGUAGE/ARGUING	/FIGHTING
	INCOMPLETE UNIFORM	_ 10	UNCOOPERATIVE	
_ 03				
_ 04	INTERFERENCE WITH EMPLOYEES	12	INSUBORDINATION	
_ 05	REGISTER SHORTAGE/VIOLATION	_ 13	USE/POSSESSION OF DRUGS/	ALCOHOL
_ 06	UNEXCUSED TARDINESS	<u>Y</u> 14	THEFT OF MONEY/MERCHANDI	SE
_ 07	POOR APPEARANCE/HYGIENE INTERFERENCE WITH EMPLOYEES REGISTER SHORTAGE/VIOLATION UNEXCUSED TARDINESS UNEXCUSED ABSENCE POOR CUSTOMER RELATIONS	_ 15	SECURITY POLICY VIOLATIO	N
_ 08	POOR CUSTOMER RELATIONS	99	OTHER (EXPLANATION REQUI	RED)
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		14					5:	21	5.35
		114					2:	17	2.28
		114					4:	21	4.35
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(b) (6), (b) (7)(C)

RUN TIME:

NAME



## **National Labor Relations Board**

# NOTICE OF DESIGNATION OF ATTORNEY OR REPRESENTATIVE

5200 S Lake PARK Are. Me Donald's and Mc Donald's USA, CLC, Joint
Conplayers Chargel Petrs
workers Organizing Committeeor charges charges party

CASE NO. 13-CA- 135932

as my attorney/representative in this proceeding.	, whose name and address appear below,
	, the undersigned, hereby designate
(b) (6), (b) (7)(C), (b) (7)(D)	the undersigned, hereby designate
To: Regional Director,	

This designation shall remain valid until a written revocation of it, signed by me, is filed with the Board.

	FULL NAME OF WITNESS
(	b) (6), (b) (7)(C), (b) (7)(D)
٦	SIGNATURE OF WITNESS (please sign in link)
	(b) (6), (b) (7)(C), (b) (7)(D)

NAME OF ATTORNEY/REPRESENTATIVE
// //
Barry Beynell
REPRESENTATIVE IS AN ATTORNEY
MAILING ADDRESS
18BC. 85. Wichigan 1944 Fl. Chicago IC 60603
EMAIL ADDRESS
bbeynett @ dbb ton com
TELEPHONE NUMBER
312 372 1361

INTERNET FORM NLRB-501 (2-08)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD FIRST AMENDED CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
Case 13–CA–135932	Date Filed <b>9/18/14</b>	

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the		g.		
1. EMPLOYER AGAINST WHOM CHARGE IS BROUGHT				
a. Name of Employer		b. Tel. No. (1) 773-288-2161 (2) 800-244-6227		
(1) 5200 S. Lake Park Avenue McDonald's and (2) McDonald's USA, LLC, joint employers		c. Cell No.		
		f. Fax No.		
<ul> <li>d. Address (Street, city, state, and ZIP code)</li> <li>(1) 5200 S. Lake Park Avenue, Chicago, IL 60615</li> <li>(2) 2111 McDonald's Drive, Oak Park, IL 60523</li> </ul>	e. Employer Representative	g. e-Mail		
		h. Number of workers employed (1) Approx. 50; (2) 100,000+		
i. Type of Establishment (factory, mine, wholesaler, etc.) Restaurant	j. Identify principal product or service Fast Food			
k. The above-named employer has engaged in and is engaging	in unfair labor practices within the meaning of sect	ion 8(a), subsections (1) and (list		
subsections) (3)	of the National Labo	r Relations Act, and these unfair labor		
practices are practices affecting commerce within the meaning within the meaning of the Act and the Postal Reorganization A	g of the Act, or these unfair labor practices are unfair			
Basis of the Charge (set forth a clear and concise statement)	of the facts constituting the alleged unfair labor pra	ctices)		
Please see attached.				
A STATE OF THE STA				
Full name of party filing charge (if labor organization, give full Workers Organizing Committee of Chicago	name, including local name and number)			
Workers Organizing Committee of Chicago	•			
4a. Address (Street and number, city, state, and ZIP code)		<sup>4b. Tel. No.</sup> (312) 520-1424		
850 W. Jackson, Suite 275				
Chicago, IL 60607		4c. Cell No.		
		<sup>4d. Fax No.</sup> (312) 243-4731		
	4	4e. e-Mail		
Full name of national or international labor organization of whorganization)	nich it is an affiliate or constituent unit (to be filled in	n when charge is filed by a labor		
DECLARATION     I declare that I have read the above charge and that the statements		Tel. No. (312) 372-1361		
Barry Barry	y M. Bennett, Lawyer	Office, if any, Cell No.		
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No. (312) 372-6599		
	alia lu	e-Mail		
Dowd Bloch Bennett & Cervone  Address 8 South Michigan Avenue, 19th Floor, Chicago, IL 6060	bbennett@dbb-law.com			

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

# Attachment to First Amended Charge Against 5200 S. Lake Park Avenue McDonald's and McDonald's USA, LLC, joint employers

## Case 13-CA-135932

## Basis of the Charge

- 1. On or around (b) (6), (b) (7)(c), 2014, the joint employers terminated (b) (6), (b) (7)(c) in retaliation for support for the Union and for having engaged in other protected, concerted activities, and in an effort to discourage other employees from supporting the Union and engaging in such activities.
- 2. Within the last six months, the joint employers discriminatorily disciplined by imposing a suspension rather than a verbal or written warning in accord with what had been an unwritten policy of applying progressive discipline, doing so in retaliation for support for the Union and participation in protected, concerted activities and in an effort to discourage and other employees from supporting the Union and engaging in such activities.
- Within the last six months, the joint employers unlawfully interrogated employees about Union membership and support.
- 4. Within the last six months, the joint employers unlawfully threatened employees with discharge and other forms of retaliation based on support for the Union and participation in protected, concerted activities.
- 5. Within the last six months, the joint employers unlawfully asserted that support for the Union was futile.
- Within the last six months, the joint employers unlawfully discriminated against Union supporters among the work force by singling them out for denigrating and abusive statements.
- 7. Within the last six months, the joint employers unlawfully prohibited employees from discussing matters related to the Union, notwithstanding its policy of allowing employees to discuss all other subjects.
- 8. Within the last six months, the joint employers denied raises to employees in retaliation for their support for the Union and their participation in protected, concerted activities and unlawfully informed employees it was doing so because

- of their support for the Union and their participation in protected, concerted activities.
- 9. Within the last six months, the joint employers established new and burdensome work rules in the form of a prohibition under threat of discharge on punching in early, doing so in retaliation for employee support for the Union and employee involvement in protected, concerted activities, and in an effort to discourage Union support and participation in such activities.
- 10. Within the last six months, the joint employers unlawfully reduced benefits to employees in the form of parking privileges, doing so in retaliation for their support for the Union and their participation in protected, concerted activities, and in an effort to discourage Union support and participation in such activities.
- 11. Within the last six months, the joint employers unlawfully reduced benefits to employees in the form of denial of what had been a policy of providing free meals, doing so in retaliation for their support for the Union and their participation in protected, concerted activities, and in an effort to discourage Union support and participation in such activities.
- 12. Within the last six months, the joint employers retaliated against employees because of their support for the Union and their participation in protected, concerted activities by ending what had been an established practice of providing employees with a seven-minute grace period for punching in, doing so because of their support for the Union and their participation in protected, concerted activity.
- 13. Within the last six months, the joint employers discriminatorily disciplined in retaliation for Union support and participation in protected, concerted activities by issuing a suspension to based on the revised rule for punching in, doing so in retaliation for support for the Union and participation in protected, concerted activities, and in an effort to discourage and other employees from supporting the Union and engaging in protected, concerted activities.





REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341 Download NLRB Mobile App

**September 18, 2014** 

McDonald's 5220 S. Lake Park Avenue Chicago, IL 60615

Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

Dear Sir or Madam:

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney Helen I. Gutierrez whose telephone number is (312)353-7584 and e-mail address is helen.gutierrez@nlrb.gov. If the agent is not available, you may contact Supervisory Attorney Jeanette C. Schrand whose telephone number is (312)353-7589.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Procedures</u>: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

Peter Sung Ohr Regional Director

dg Enclosure: Copy of first amended charge - 2 -

cc: Doreen S. Davis, Esq.
Jones Day
222 East 41st Street
New York, NY 10017-6702

Michael S. Ferrell, Attorney At Law Jones Day 77 West Wacker Drive, Suite 3500 Chicago, IL 60601-1692

Joshua Grossman, Attorney Jones Day 222 E. 41<sup>st</sup> Street New York, NY 10017-6702

Andrew G. Madsen, Esq. Jones Day 77 W. Wacker Drive, Suite 3500 Chicago, IL 60601-1701

McDonald's Corp 2111 McDonald's Dr. Oak Brook, IL 60523

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

MCDONALD'S

**Charged Party** 

and

Case 13-CA-135932

WORKERS ORGANIZING COMMITTEE OF CHICAGO

**Charging Party** 

# AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 18, 2014, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

Doreen S. Davis, Esq. Jones Day 222 East 41st Street New York, NY 10017-6702

Michael S. Ferrell, Attorney At Law Jones Day 77 West Wacker Drive, Suite 3500 Chicago, IL 60601-1692

Joshua Grossman, Attorney Jones Day 222 E 41<sup>st</sup> Street New York, NY 10017-6702 Andrew G. Madsen, Esq. Jones Day 77 W. Wacker Drive, Suite 3500 Chicago, IL 60601-1701

McDonald's 5220 S. Lake Park Avenue Chicago, IL 60615

McDonald's Corp 2111 McDonald's Dr. Oak Brook, IL 60523

September 18, 2014	Denise Gatsoudis, Designated
	Agent of NLRB
Date	Name
	Signature





REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341 Download NLRB Mobile App

**September 18, 2014** 

Workers Organizing Committee of Chicago 850 W Jackson, Ste 275 Chicago, IL 60607

Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

Dear Sir or Madam:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney Helen I. Gutierrez whose telephone number is (312)353-7584 and e-mail address is helen.gutierrez@nlrb.gov. If the agent is not available, you may contact Supervisory Attorney Jeanette C. Schrand whose telephone number is (312)353-7589.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Procedures</u>: Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

Peter Sung Ohr Regional Director

dg Enclosure: Copy of first amended charge

cc: Barry M. Bennett, Esq., Attorney at Law Dowd, Bloch, Bennett & Cervone 8 S Michigan Ave., Fl 19 Chicago, IL 60603-3315 
 From:
 Barry Bennett

 To:
 Gutierrez, Helen I.

 Subject:
 WOCC/McD

Date: Wednesday, September 24, 2014 3:10:30 PM

**Attachments:** <u>201409241407.pdf</u>

Helen,

I'm attaching the document from (b) (6), (b) (7)(C) personnel file that I called about.

Thanks.

Barry

--

BARRY M. BENNETT DOWD, BLOCH, BENNETT & CERVONE

8 South Michigan Avenue | 19th Floor | Chicago, IL 60603 Phone 312.372.1361 | Fax 312.372.6599

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error, please notify Dowd, Bloch, Bennett & Cervone (office@dbblaw.com). Please note that any views or opinions presented in this email are solely those of the author and do not necessarily represent those of Dowd, Bloch, Bennett & Cervone. Finally, the recipient should check this email and any attachments for the presence of viruses. Dowd, Bloch, Bennett & Cervone accepts no liability for any damage caused by any virus transmitted by this email.

MANAGER SIGNATURE

SUPERVISOR SIGNATURE

### EMPLOYEE ACTION FORM

5), (b) (7)(C) 1

EMPLOYEE NAME: (b) (6), (b) (7)(C)

ID: ---COMMENDATION CODE # CODE # \_ 07 HELPED A TRAINEE 01 STAYED LATE \_ 08 HELPED A CUSTOMER 02 CAME IN EARLY \_ 09 EXCEPTIONAL PERFORMANCE 03 CAME IN ON DAY OFF 10 HELPED ACHIEVE GOOD QSC GRADES 04 VOLUNTEERED FOR EXTRA DUTY \_ 11 EMPLOYEE OF THE MONTH 05 ASSISTED A MANAGER 99 OTHER (EXPLANATION REQUIRED) 06 ASSISTED ANOTHER CREW PERSON REPRIMAND/DISCIPLINE CODE # CODE # 01 POOR PERFORMANCE 09 ABUSIVE LANGUAGE/ARGUING/FIGHTING \_ 10 UNCOOPERATIVE 02 INCOMPLETE UNIFORM \_ 11 VIOLATION OF FOOD POLICY 03 POOR APPEARANCE/HYGIENE 04 INTERFERENCE WITH EMPLOYEES \_ 12 INSUBORDINATION 13 USE/POSSESSION OF DRUGS/ALCOHOL Y 14 THEFT OF MONEY/MERCHANDISE \_ 05 REGISTER SHORTAGE/VIOLATION \_\_ 06 UNEXCUSED TARDINESS \_ 15 SECURITY POLICY VIOLATION \_ 99 OTHER (EXPLANATION REQUIRED) \_ 07 UNEXCUSED ABSENCE 08 POOR CUSTOMER RELATIONS EXPLANATION (6), (b) (7)(C) WALKED IN AND PUNCHED IN. (DISCOUTED WAS NOT DRESS FOR WORK (DISCOUTED SHIRT WAS NOUTED A BAG (b) (6), (b) (7) ON (DISCOUTED WAS NOT DRESS FOR WORK (DISCOUTED SHIRT WAS NOUTED WAS NOT DRESS FOR WORK (DISCOUTED SHIRT WAS NOUTED WAS NOUTE (b) (6), (b) (7)(C) KNOWS THAT (b) SHOULD NOT PUNCH IN UNTIL (b) IS FULLY DRESS FOR WORK. this is consider stealing time.
(b) (6), (b) (7)(C) EMPLOYEE SIGNATURE



REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

Agent's Direct Dial: (312)353-7584

October 1, 2014

Doreen S. Davis, Attorney Jones Day 222 East 41st Street New York, NY 10017-6702

Gina M. LiVolsi, ESQ. LaPointe Law, P.C. 1200 Shermer Road Suite 310 Northbrook, IL 60062

Re: 5200 S. Lake Park Avenue McDonald's and McDonald's USA, LLC joint employers Case 13-CA-135932

I have attempted to reach you without success so I am writing this letter to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-captioned matter. As explained below, I am requesting to take affidavits on or before [ENTER DATE] with regard to certain allegations in this case.

**Allegations:** The allegations for which I am seeking your evidence are as follows. [Describe specific allegations for which you seek charged party evidence.]

**Board Affidavits:** I am requesting to take affidavits from [INSERT NAME(S) AND TITLE(S) OF INDIVIDUALS TO PROVIDE AFFIDAVITS] and any other individuals you believe have information relevant to the investigation of the above-captioned matter. Please be advised that the failure to present representatives who would appear to have information relevant to the investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge. Please contact me by [insert date] to schedule these affidavits.

**Documents:** Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

1.

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by ENTER DATE for presenting all evidence. If you are willing to allow me to take affidavits, please contact me by ENTER date for contacting about affidavits] to schedule a time to take affidavits. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to www.nlrb.gov, select E-File Documents, enter the NLRB case number, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (312)353-7584, or e-mail, helen.gutierrez@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

HELEN I. GUTIERREZ Field Attorney



REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

Agent's Direct Dial: (312)353-7584

October 3, 2014

Gina M. LiVolsi, ESQ. LaPointe Law, P.C. 1200 Shermer Road Suite 310 Northbrook, IL 60062

Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

As you know, I am investigating the above referenced charge. I am writing you to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-captioned matter. As explained below, I am requesting to take affidavits on or before October 8, 2014 with regard to certain allegations in this case.

**Allegations:** The allegations for which I am seeking your evidence are listed in the Attachment to First Amended Charge Against Employer. For your convenience, I have attached a copy of the First Amended Charge Against Employer as well as a copy of the letter notifying your client that a First Amended Charge Against Employer had been filed.

Board Affidavits: I am requesting to take affidavits from (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) and any other individuals you believe have information relevant to the investigation of the above-captioned matter. Please be advised that the failure to present representatives who would appear to have information relevant to the investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge. Please contact me by Monday, October 6, 2014 to schedule these affidavits.

**Documents:** Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

1. The complete personnel file of (b) (6), (b) (7)(C) excluding medical records.

- 2. The complete disciplinary file of (b) (6), (b) (7)(C).
- 3. Copies of all documents and communications referencing the suspension of (b) (6), (b) (7)(C), including all document relied on by the employer in issuing said suspension.
- 4. A list of all employees that have been suspend for punching in late since (b) (6), 2012 to the present.
- 5. Copies of all documents and communications referencing the termination of (b) (6), (b) (7)(C), including all document relied on by the employer in making its decision to terminate (b) (6), (b) (7)(C).
- 6. A list of all employees that have been terminated for similar reasons as from (b) (6), (b) (7)(C) 2012 to the present.
- 7. Copies of all employer work rules in effect from (b) (6), (b) (7)(C) 2012 to the present.
- 8. Copies of all employee handbooks in effect from (b)(6), (b)(7)(c) 2012 to the present.
- Opies of all employer 's policies and procedures including but not limited to policies and procedure relating to employee parking at the employer's facility, employees punching in and out, issuance of discipline, shortages of the cash drawer and meals for employees that were in effect from (50.6). (7)(6) 2012 to the present.
- 10. Copies of the surveillance footage from the surveillance cameras overlooking the front registers on (b) (6), (b) (7)(C) 2014 between (b) (6), (b) (7)(C)
- 11. Copies of all time records showing the time employees punched in and out as well as the corresponding work schedules for the time period of November 1, 2014 to the present.

### **Additional Evidence:**

- It has been alleged that (b) (6), (b) (7)(C) are (b) (6), (b) (7)(C). Please provide your position as to their Section 2(11) supervisory status.
- Please provide a list of the employer's supervisory hierarchy showing the name supervisors, what their title is, who they report to and what shift they work on.
- It has been alleged that the employer maintains an unwritten policy of progressive discipline, please provide a detailed account of the policy.
- It has been alleged that the employer has been requiring employees to pay back in cash any shortages from the cash drawers. Please provide a

detailed account of that policy as well as a list of all employees that have been required to make payments to the employer under said said policy for the period of (5) (5) (7)(6) 2012 to the present.

**Date for Submitting Evidence:** To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by October 10, 2014. If you are willing to allow me to take affidavits, please contact me by October 6, 2014 to schedule a time to take affidavits. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to **www.nlrb.gov**, select **E-File Documents**, enter the **NLRB case number**, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (312)353-7584, or e-mail, helen.gutierrez@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

HELEN I. GUTIERREZ Field Attorney From: Schrand, Jeanette C.

To: Ohr, Peter S.; Gutierrez, Helen I.

Cc: Schrand, Jeanette C.; Gianopulos, Kate; Hitterman, Paul; Nelson, Daniel N.; Melecio, Carmen

 Subject:
 RE: Agenda Minutes 13-CA-135932

 Date:
 Friday, October 31, 2014 6:41:20 PM

I agree.

Jeanette

----- Original message -----

From: "Ohr, Peter S." < Peter. Ohr@nlrb.gov> Date: 10/31/2014 5:24 PM (GMT-06:00)

To: "Gutierrez, Helen I." < Helen.Gutierrez@nlrb.gov>

Cc: "Schrand, Jeanette C." < Jeanette. Schrand@nlrb.gov>, "Gianopulos, Kate"

<Kate.Gianopulos@nlrb.gov>, "Hitterman, Paul" <Paul.Hitterman@nlrb.gov>, "Nelson, Daniel N." <Daniel.Nelson@nlrb.gov>, "Melecio, Carmen" <Carmen.Melecio@nlrb.gov>

Subject: Re: Agenda Minutes 13-CA-135932

Agreed

**DAW** 

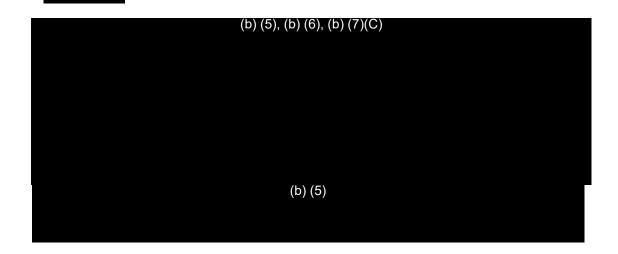
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Peter Sung Ohr

On Oct 31, 2014, at 5:05 PM, "Gutierrez, Helen I." < Helen.Gutierrez@nlrb.gov wrote:

McDonald's 13-CA-135932

Recommendation: (b) (5)



(b) (5), (b) (6), (b) (7)(C)

# **ORAL WITHDRAWAL REQUEST FORM**



## NOTE TO FILE

Case Name: 5200 S. Lake Park Avenue McDonald's and McDonald's USA, LLC joint

employers

**Case Number:** 13-CB-135932

RE: (b) (5)

10/30/14 HG sent email to Bennett (b) (5)

11/2/14 HG called Bennett (b) (5), (b) (6), (b) (7)(C)

Benett stated he would check with his client and get back to

me.

11/3/14 Msg from Bennett to call him to discuss

11/4/14 HG called Bennett, Bennet stated that client had authorized him to withdraw charge.



## RECOMMENDATION TO APPROVE ORAL WITHDRAWAL

Case Name: 5200 S. Lake Park Avenue McDonald's and McDonald's USA, LLC joint

employers

**Case Number:** 13-CB-135932

**Supervisor:** Jeanette Schrand

**Date of Report:** 11/5/14

(b) (5)

After conferring

with client, Mr. Bennett verbally agreed to withdraw the charge.

I agree, per Agenda determination. -J.Schrand 11-5-14

Withdrawal approved pursuant to agenda's determination. 11-5-14 s/DN (KMG 11-5-14 acting ARD)



# MCDONALS& MCDONALDS USA AS JOINT EMPLOYERS

FILE NOTES

13-CA-135932

9/8/14	Left Msg for CP atttonery Bennett that I wanted to schedule witness affidavits (b) (6), (b) (7)(C)
9/24/14	Talked to (b) (6), (b) (7)(C), (b) (6), (c) (d) (d) (e), (e) (f) (f) (f) (f) (f) (f) (f) (f) (f) (f
	Donuts located at 35 <sup>th</sup> and Ashland. gets off work at (b) (6), (b) (7)(C)
9/24/14	Talked to (b) (6), (b) (7)(C) – agreed to give affidavit will check work schedule as has no set schedule, but may
	be available over the weekend if I come out to (b) (6), (b)
	(b) (5), (b) (6), (b) (7)(C)
9/28/14	no call no show for affidavit contacted after waiting about a half hour and stated that was not coming and
	was no longer interested in giving an affidavit
10/1/14	Confirmed with (b) (6), (b) (7)(C) that we will take affidavit at (b) at Dunkin Donuts off the (b) (6), (b) (7)(C)
10/6/14	T-t Bennet he will be going on vacation and Laskam will be taking over for the next two weeks
10/6/14	Call from Laskam – told him I really need him to get in for an affidavit, he will reach out and get back to me
10/16/14	LMG for (b) (6), (b) (7)(C)
10/16/14	Left msg for (b) (6), (b) (7)(C)
10/16/14	Called (b) (6), (b) (7)(C) — phone does not accept incoming calls
10/16/14	Called (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C) #s were disconnected
10/16/14	Talked to (b) (6), (b) (7)(C), stated the following

	(b) (5), (b) (6), (b) (7)(C)
10/24/14	LM for (b) (6), (b) (7)(C)
10/26/14	Called of the Cricket customer was temporarily disconnected
10/26/14	Talked to (b) (5), (b) (6), (b) (7)(C)
	(b) (5), (b) (6), (b) (7)(C)

 (b) (5), (b) (6), (b) (7)(C)		



REGION 13 209 S La Salle St Ste 900 Chicago, IL 60604-1443 Agency Website: www.nlrb.gov Telephone: (312)353-7570 Fax: (312)886-1341

November 7, 2014

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Re: 5200 S. Lake Park Avenue McDonald's and

McDonald's USA, LLC joint employers

Case 13-CA-135932

## Dear Parties:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

/s/ Daniel N. Nelson

DANIEL N. NELSON Acting Regional Director

cc: Barry M. Bennett, Esq., Attorney at Law Dowd, Bloch, Bennett & Cervone 8 S Michigan Ave., Fl 19

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